

PATENT TRADEWORK OFFICE

PTO/SB/21 (12-97)
Approved for use through 9/30/00. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Please type a plus sign (+) inside this box -> +

11.10.99

I P E				RECEIV
•			Application Number	09/106,519 NOV 1 7 19
y 1 5 1999	TRANSMITTAL		Filing Date	June 29, 1998 Group 27
الم	FORM		First Named Inventor	Keith McCloghrie et al.
AT & TRADE!	(to be used for all correspondence after initial filing)		Group Art Unit	2731
			Examiner Name	Not Assigned
	Total Number of Pages in This Subm	nission 321	Attorney Docket Number	CIS-044
		ENCLOS	SURES (check all that apply)	
	Fee Transmittal Form		nent Papers Application)	After Allowance Communication to Group
	Fee Attached Drawing		ı(s)	Appeal Communication to Boar of Appeals and Interferences
	Amendment / Response	Licensing-related Papers Petition Routing Slip (PTO/SB/69) and Accompanying Petition To Convert a Provisional Application		Appeal Communication to Grou (Appeal Notice, Brief, Reply Brief)
	After Final			Proprietary Information
	Affidavits/declaration(s)			Status Letter
•	Extension of Time Request	Power	of Attorney, Revocation of Correspondence	Additional Enclosure(s) (please identify below):
,	Express Abandonment Request Termina		d Disclaimer	FormSB/08/AB; cited art; and
	X Information Disclosure Statement		ntity Statement	Return Postcard
	Certified Copy of Priority		st for Refund	
	Document(s)	Remarks		
	Response to Missing Parts/ Incomplete Application			
	Response to Missing Parts under 37 CFR 1.52 or 1.53			
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
	Firm or Daniel B. Curtis Reg. No. 39,159			
	Signature Signature			
	Date E psc ///	1/0/99		
•	CERTIFICATE OF MAILING			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

Typed or printed name Arlette Malhas



PATENT TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED NOV 1 7 1999 Group 2700

CIS-044

In re Application of:

Keith McCloghrie et al

Serial No.

09/106,519

Filed:

June 29, 1998

For:

Sampling Packets For Network

Monitoring

Art Unit: 2731

Examiner: Unassigned

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

> Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

on 1/10.99

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

It is requested that the document(s) on the enclosed form be made of record.

Part I (Authority)

This statement is filed pursuant to:

37 C.F.R. § 1.97(b). (X)

> This information disclosure statement is filed either (1) within three months of the filing date of the national applications; (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

> Accordingly, this information disclosure statement requires no fee and no certification.

() 37 C.F.R. § 1.97(c). This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113 or (2) a notice of allowance under 37 C.F.R. § 1.311.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) (\$240), or a certification according to 37 C.F.R. § 1.97(e).

() 37 C.F.R. § 1.97(d). This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(c).

Accordingly, this information disclosure statement requires the petition fee specified in 37 C.F.R. § 1.17(i)(1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$130), a certification according to 37 C.F.R. § 1.97(e), and a petition requesting consideration of the information disclosure statement.

Conditional Petition

It is respectfully requested that this information disclosure statement be considered, good cause being presented in Part III herein (certification). please treat this paper as the required petition.

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record.

To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

Part II (Payment)

Please charge any additional required fee or credit any overpayment to our Deposit Account No. 500365. An additional copy of this information disclosure statement is enclosed.

A check is enclosed as indicated:

- (X) No fee is due.
- () The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$240).
- () The petition fee specified in 37 C.F.R. § 1.17(i)(1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) is enclosed (\$130).

Part III (Certification)

2

Pursuant to 37 C.F.R. § 1.97(e), I certify:

(X) No certification is necessary.

- () (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
 - () The "communication from a foreign patent office" referred to in the certification is an International Search Report, possibly issued by the U.S. Patent and Trademark Office in its capacity as an International Search Authority or International Preliminary Examining Authority.
 - () The "counterpart foreign application" referred to in the certification corresponds to an ancestor or descendent application of the application for which this information disclosure statement is filed.
- () (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Part IV (Additional Statement)

An additional statement regarding these items of information () is, (X) is not, enclosed.

Copies of the cited art (X) are enclosed, () are of record in parent application Serial No. _____ and will be provided if the Examiner deems it convenient.

Respectfully submitted,

Daniel B. Curtis Reg. No. 39,159

Swernofsky Law Group Steven A. Swernofsky Daniel B. Curtis P.O. Box 390013 Mountain View, CA 94039-0013 (650) 947-0700